IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Colman et al.

Appl. No. 10/080,713

Filed: February 25, 2002

For: Method of Preparing a

Somatic Cell for Nuclear

Transfer

Confirmation No. 9155

Art Unit:

1632

Examiner:

To Be Assigned

Atty. Docket: 1966.0020003/EKS/BJD/FRC

Information Disclosure Statement

Commissioner for Patents Washington, D.C. 20231

Sir:

Listed on accompanying Form PTO-1449 are documents that may be considered material to the examination of this application, in compliance with the duty of disclosure requirements of 37 C.F.R. §§ 1.56, 1.97 and 1.98.

In accordance with 37 C.F.R. § 1.98(a)(3), Applicants' undersigned representative submits the following, in regards to non-English language document AO1 cited on Form PTO 1449:

Document AO1, WO 98/06834, is in a foreign language. An English language abstract of document AO1 is attached as document AR40.

Where the publication date of a listed document does not provide a month of publication, the year of publication of the listed document is sufficiently earlier than the effective U.S. filing date and any foreign priority date so that the month of publication is not in issue. Applicants have listed publication dates on the attached PTO-1449 based on information presently available to the undersigned. However, the listed publication dates should not be construed as an admission that the information was actually published on the date indicated.

Applicants reserve the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not

be prior art, and/or to prove that this information may not be enabling for the teachings purportedly offered.

This statement should not be construed as a representation that a search has been made, or that information more material to the examination of the present patent application does not exist. The Examiner is specifically requested not to rely solely on the material submitted herewith.

This Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits. No statement or fee is required.

It is respectfully requested that the Examiner initial and return a copy of the enclosed PTO-1449, and indicate in the official file wrapper of this patent application that the documents have been considered.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

Frank R. Cottingham

Frank R. Cottingham Attorney for Applicants

Registration No. 50,437

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May 22, 2002

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Commissioner for Patents Washington, D.C. 20231

Art Unit 1632

Re:

U.S. Utility Patent Application

Appl. No. 10/080,713; Filed: February 25, 2002

For: Method of Preparing a Somatic Cell for Nuclear Transfer

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Inventors:

Colman et al.

Our Ref:

1966.0020003/EKS/BJD/FRC

Sir:

Transmitted herewith for appropriate action are the following documents:

- 1. Information Disclosure Statement;
- 2. Listing of the cited documents on Form PTO-1449 (41 sheets);
- 3. Copies of the one hundred thirty six (136) cited documents; and
- 4. Return postcard.

It is respectfully requested that the attached postcard be stamped with the date of filing of these documents, and that it be returned to our courier. In the event that extensions of time are necessary to prevent abandonment of this patent application, then such extensions of time are hereby petitioned.

Sterne, Kessler, Goldstein & Fox PLLC. : 1100 New York Avenue, NW : Washington, DC 20005 : 202.371.2600 f 202.371.2540 : www.skgf.com

Commissioner for Patents May 22, 2002 Page 2

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

Frank R. Cottingham Attorney for Applicants

Registration No. 50,437

FRC/krh Enclosures

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FORM PTO-1449

INFORMATION DISCLOSURE STATEMENT

ATTY. DOCKET NO. 1966.0020003/EKS/BJD/FRC	APPLICATION ENGILLE TOURS
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	AM1	WO 9	7/07669	03/06/1997	WIPO		_	Yes No
	AN1	WO 9	7/37009	10/09/1997	WIPO			Yes No
	AO1	WO 9	8/06834	02/19/1998	WIPO			Yes X No Yes No
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1966.0020003/EKS/BJD/FRC FORM PTO-1449 APPLICANT Colman et al. INFORMATION DISCLOSURE STATEMENT FILING DATE **GROUP** 2002 February 25, 1632 U.S. PATENT DOCUMENTS EXAMINER DOCUMENT NUMBER NAME CLASS SUB-CLASS FILING DATE INITIAL DATE AΑ ΑB AC AD ΑE ΑF AG ΑH ΑI ΑJ ΑK FOREIGN PATENT DOCUMENTS **EXAMINER** INITIAL DOCUMENT NUMBER DATE COUNTRY CLASS SUB-CLASS TRANSLATION AL3 01/14/1999 WIPO WO 99/01164 No Yes AM3 WO 99/03981 01/28/1999 WIPO No Yes AN3 WO 99/21415 05/06/1999 WIPO No Yes A03 WO 00/42174 07/20/2000 WIPO No Yes WO 01/49106 07/12/2001 WIPO No OTHER (Including Author, Title, Date, Pertinent Pages, etc.) Brehm, A., et al., "Retinoblastoma protein recruits histone deacetylase to repress transcription," AR 3 Nature 391:597-601, Macmillan Publishers Ltd. (February, 1998). Brown, K.E., et al., "Dynamic Repositioning of Genes in the Nucleus of Lymphocytes Preparing for AS <u>3</u> Cell Division," Mol. Cell 3:207-217, Cell Press (February, 1999). Buerstedde, J.M. and Takeda, S., "Increased Ratio of Targeted to Random Integration after ΑT <u>3</u> Transfection of Chicken B Cell Lines," Cell 67:179-188, Cell Press (1991).

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	AS	<u>6</u>	Christenson Corpora Lute	, R.K. and Day, E ea," J. Anim. Sci	3.N., "Maintenance of Unilateral . <i>32</i> :282-286, American Society o	Pregnancy of Animal So	in the Pig w cience (1971)	ith Induced
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INFORMATION DISCLOSURE STATEMENT

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INFORMATION DISCLOSURE STATEMENT

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MAY 2 8 2002

TECH CENTER 1600/290041 ATTY. DOCKET NO. APPLICATION NO. 1966.0020003/EKS/BJD/FRC 10/080,713 FORM PTO-1449 **APPLICANT** Colman et al. INFORMATION DISCLOSURE STATEMENT FILING DATE GROUP February 25, 2002 1632 U.S. PATENT DOCUMENTS **EXAMINER** INITIAL DOCUMENT NUMBER DATE NAME CLASS SUB-CLASS FILING DATE AΑ AB AC ΑD ΑE ΑF AG ΑH ΑI ΑJ AK FOREIGN PATENT DOCUMENTS **EXAMINER** INITIAL DOCUMENT NUMBER DATE COUNTRY TRANSLATION **CLASS** SUB-CLASS Yes AL No Yes AM No Yes AN No Yes ΑO No Yes ΔΡ No OTHER (Including Author, Title, Date, Pertinent Pages, etc.) Galili, U., et al., "A Unique Natural Human IgG Antibody With Anti- α -Galactosyl Specificity," J. AR 10 Exp. Med. 160:1519-1531, Rockefeller University Press (1984). Gerfen, R.W. and Wheeler, M.B., "Isolation of Embryonic Cell-lines from Porcine Blastocysts," *Anim. Biotechnol.* 6:1-14, Marcel Dekker, Inc. (1995). AS 10 Griffiths, G.M., et al., "Somatic mutation and the maturation of immune response to 2-phenyl AT 10 oxazolone," Nature 312:271-275, Macmillan Publishers Ltd. (1984). **EXAMINER** DATE CONSIDERED



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APPLICATION NO. 10/080,713

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	AS	27	Shim, H., e Cells," Bio	t al., "Isola 1. Reprod. 57	ation of Pluripotent Stem (:1089-1095, Society for th	Cells from Cultured P ne Study of Reproduct	orcine Primo ion (1997).	rdial Germ
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TECH CENTER Page 33 of 41 APPLICATION NO. 10/080,713

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APPLICATION NO. 10/080,713

FORM PTO-1449

INFORMATION DISCLOSURE STATEMENT

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APPLICATION NO. 10/080,713 Page 40 of 41

FORM PTO-1449

INFORMATION DISCLOSURE STATEMENT

ATTY. DOCKET NO. 1966.0020003/EKS/BJD/FRC

APPLICANT Colman et al.

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APPLICATION NO.
10/080,713

FORM PTO-1449

ATTY. DOCKET NO. 1966.0020003/EKS/BJD/FRC

APPLICANT INFORMATION DISCLOSURE STATEMENT

Colman et al.

FILING DATE GROUP 1632 February 25, 2002

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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

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